

REGISTRY OF ELECTION FINANCE

The Registry of Election Finance met Wednesday, March 11, 2015, at Suite 104 of Parkway Towers, 404 James Robertson Parkway, Nashville, Tennessee.

The meeting was held without a physical quorum present. The meeting was held with Chairperson Norma Lester, Patricia Heim and Tom Lawless present. Kent Coleman and Justin Pitt participated via telephone. The meeting was necessary due to the meeting notice and agenda having been posted which resulted in approximately 25 individuals appearing at the meeting to speak to a matter on the agenda or to view discussion of a matter on the agenda.

Approval of Meeting Minutes

On motion by Heim, seconded by Lawless, the board voted unanimously to amend the January 14, 2015 minutes to combine Strong and Free Tennessee cases 14-39 and 14-68 to reflect that the civil penalty assessment was \$5,000 in total.

On motion by Heim, seconded by Lawless, the board voted unanimously to approve the minutes, as amended, from the January 14, 2015 regular board meeting.

Request for Reconsideration

13-40 Amy Broyles, for failure to file a 2012 year-end supplemental campaign financial disclosure report with the Knox County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Broyles has had previous matters before the board.

The board voted at its June 12, 2013 meeting to issue Ms. Broyles a show cause notice.

The board voted at its August 21, 2013 meeting to assess Ms. Broyles a \$10,000 civil penalty. Ms. Broyles requested a reconsideration of the \$10,000 civil penalty assessment.

Ms. Broyles filed the required report with the Knox County Election Commission and submitted a statement for the board's consideration.

The board voted at its January 14, 2015 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow Ms. Broyles' representative an opportunity to personally appear before the board.

The board voted at its March 11, 2015 meeting to defer any further action in this matter until the next regularly scheduled meeting to allow Ms. Broyles' representative an opportunity to personally appear before the board.

Show Cause Hearings

C15-01 Williamson Strong, for failure to register as a PAC and report contributions and expenditures with the Williamson County Election Commission. Class two (2), maximum ten thousand (\$10,000) civil penalty per violation. The organization has had no previous matters before the board.

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Gerrard Stranch, representative for the organization, personally appeared before the board and provided affidavits for the board's consideration.

Susan Curlee personally appeared before the board and provided further documentation for the board's consideration.

On motion by Lawless, seconded by Heim, the board voted unanimously to defer any further action in this matter until the next regularly scheduled meeting.

15-06 Build PAC, for failure to file a 2014 pre-general campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board.

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Don Glays, treasurer for the organization, filed the required report, personally appeared before the board and explained the failure to file the required report.

On motion by Heim, seconded by Pitt, the board voted unanimously to take no further action in this matter.

15-07 Funk PAC, for failure to file a 2014 pre-general campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had no previous matters before the board.

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Kevin Teets, treasurer for the organization, filed the required report, closed the PAC account, personally appeared before the board and explained the failure to file the required report.

On motion by Heim, seconded by Lester, the board voted unanimously to take no further action in this matter.

15-09 Shelby County Democratic Executive Committee, for failure to file a 2014 pre-general campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board.

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Dave Cambron, representative for the organization, filed the required report and personally appeared before the board and explained the failure to file the required report.

A motion was made by Lawless to take no further action as long as the organization made the proper corrections to their campaign financial disclosure reports.

Lawless then withdrew the motion. A motion was then made by Heim, seconded by Lawless, to take no further action in this matter.

Statements Submitted

15-08 Plumbers & Steamfitters Local 43, for failure to file a 2014 pre-general campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had previous matters before the board.

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

David L. Tolley, representative for the organization, filed the required report and submitted a statement for the board's consideration.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no further action in this matter.

15-10 Southern Futures PAC, for failure to file a 2014 pre-general campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board. (see below)

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Scott Hunt, treasurer for the organization, filed the required report and submitted a statement for the board's consideration.

On motion by Heim, seconded by Lester, the board voted unanimously to take no further action in this matter.

15-11 Maury Community Campaign, for failure to file a 2014 3rd quarter campaign financial disclosure report with the Maury County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board. (see below)

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Thomas W. Hardin, representative for the organization, filed the required report and submitted a statement for the board's consideration.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no further action in this matter.

15-12 Maury Community PAC, for failure to file a 2014 3rd quarter campaign financial disclosure report with the Maury County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. The organization has had a previous matter before the board. (see below)

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Thomas W. Hardin, representative for the organization, filed the required report and submitted a statement for the board's consideration.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no further action in this matter.

Cases Subject to Approval of Civil Penalty Order

15-01 Mwafaq Aljabbary, for failure to file a 2014 3rd quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Aljabbary has had no previous matters before the board.

The board voted at its January 14, 2015 meeting to issue Mr. Aljabbary a show cause notice.

Mr. Aljabbary filed the required report closing out his campaign account and submitted a statement for the board's consideration.

On motion by Heim, seconded by Coleman, the board voted unanimously to take no further action in this matter.

15-02 Venita Andrews, for failure to file a 2014 3rd quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Andrews has had no previous matters before the board.

The board voted at its January 14, 2015 meeting to issue Ms. Andrews a show cause notice.

Ms. Andrews filed the required report closing out her campaign account and submitted a statement for the board's consideration.

On motion by Lawless, seconded by Heim, the board voted unanimously to take no further action in this matter.

15-03 Christine Cane, for failure to file a 2014 3rd quarter campaign financial disclosure report. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Ms. Cane has had no previous matters before the board.

The board voted at its January 14, 2015 meeting to issue Ms. Cane a show cause notice.

Ms. Cane filed the required report closing out her campaign account and submitted a statement for the board's consideration.

On motion by Heim, seconded by Lawless, the board voted unanimously to take no further action in this matter.

14-74 Michael Sparks, for failure to timely file a 2014 pre-general campaign financial disclosure report. Class one (1), 26 days late, maximum six hundred fifty (\$650) civil penalty. Rep. Sparks has had previous matters before the board.

The board voted at its December 10, 2014 meeting to issue Rep. Sparks a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Mr. Sparks a \$650 civil penalty.

15-04 Ronald Lankford, for failure to file a 2014 3rd quarter campaign financial disclosure report with the Smith County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Lankford has had no previous matters before the board.

The board voted at its January 14, 2015 meeting to issue Mr. Lankford a show cause notice.

Mr. Lankford filed the required report closing out his campaign account and submitted a statement for the board's consideration.

On motion by Heim, seconded by Pitt, the board voted unanimously to take no further action in this matter.

15-05 Matt Milligan, for failure to file a 2014 3rd quarter campaign financial disclosure report with the Williamson County Election Commission. Class two (2), no report filed, maximum ten thousand (\$10,000) civil penalty. Mr. Milligan has had no previous matters before the board.

The board voted at its January 14, 2015 meeting to issue Mr. Milligan a show cause notice.

Director Rawlins informed the board that the report has been filed but that there has been no response to the show cause notice.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess Mr. Milligan a \$250 civil penalty.

15-13 Clarksville Firefighters PAC, for failure to timely file a 2014 pre-general campaign financial disclosure report. Class one (1), 13 days late, maximum three hundred twenty-five (\$325) civil penalty. The organization has had a previous matter before the board.

The board voted at its January 14, 2015 meeting to issue the organization a show cause notice.

Director Rawlins informed the board that there has been no response to the show cause notice.

On motion by Heim, seconded by Lawless, the board voted unanimously to assess the organization a \$150 civil penalty.

Sworn Complaints

Vote Yes on 2, LLC

Director Rawlins provided the board with a sworn complaint filed with the Registry from John Avery Emison against Vote Yes on 2, LLC.

On motion by Pitt, seconded by Heim, the board voted unanimously to issue the organization a show cause notice.

Cases Considered for Issuance of Show Cause Notice

Joseph Brown, for failure to timely file a 2014 4th quarter campaign financial disclosure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Brown has had a previous matter before the board.

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Duane Dominy, for failure to timely file a 2014 year-end supplemental campaign financial disclosure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Dominy has had a previous matter before the board.

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Harold Tucker, for failure to timely file a 2014 4th quarter campaign financial disclosure report. Class one (1), nine days late, maximum two hundred twenty-five (\$225) civil penalty. Mr. Tucker has had no previous matters before the board.

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Mike Walsh, for failure to timely file a 2014 4th quarter campaign financial disclosure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. Mr. Walsh has had no previous matters before the board.

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Billy Stephens, for failure to file a 2014 3rd quarter campaign financial disclosure report with the Knox County Election Commission. Class two (2), 56 days late, maximum ten thousand (\$10,000) civil penalty. Mr. Stephens has had no previous matters before the board.

On motion by Pitt, seconded by Heim, the board voted unanimously to issue a show cause notice.

PAC-ABLE Tennessee, for failure to timely file a 2014 4th quarter campaign financial disclosure report. Class one (1), three days late, maximum seventy-five (\$75) civil penalty. The organization has had no previous matters before the board.

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Southern Futures PAC, for failure to timely file a 2014 4th quarter campaign financial disclosure report. Class one (1), one day late, maximum twenty-five (\$25) civil penalty. The organization has had a previous matter before the board. (see above)

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Zeneca PAC, for failure to timely file a 2014 4th quarter campaign financial disclosure report. Class one (1), six days late, maximum one hundred fifty (\$150) civil penalty. The organization has had previous matters before the board.

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Maury Community Campaign, for failure to timely file a 2014 4th quarter campaign financial disclosure report with the Maury County Election Commission. Class one (1), 21 days late, maximum five hundred twenty-five (\$525) civil penalty. The organization has had a previous matter before the board. (see above)

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Maury Community PAC, for failure to timely file a 2014 4th quarter campaign financial disclosure report with the Maury County Election Commission. Class one (1), 16 days late, maximum four hundred (\$400) civil penalty. The organization has had a previous matter before the board. (see above)

On motion by Pitt, seconded by Heim, the board voted unanimously to take no action.

Executive Director's Report

- Director Rawlins provided the 2014 annual report to the board for approval. On motion by Heim, seconded by Lawless, the board voted unanimously to approve the report.
- Director Rawlins provided the board with a list containing the names of the legislative campaigns that will be audited. The list contains candidates whose campaigns will receive full audits as required in T.C.A. §2-10-212(2) and candidates whose campaigns are required to have their unitemized contributions audited for a specific reporting period pursuant to T.C.A. §2-10-212(i). The list is attached to the minutes as reference.
- Director Rawlins informed the board that George Morton, Jr. has been appointed to the Registry board by the House Republican Caucus.

Other Business

Without objection, the board voted unanimously to schedule the next Registry meeting for May 13, 2015 at 10:30 a.m.

On motion by Heim, seconded by Lawless, the board voted unanimously to adjourn the meeting until the next regularly scheduled meeting.